

16138
U.S.
PTO
30/8/03

UTILITY

PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **BER-P-03-052**

First Named Inventor or Application Identifier:

BERGERSEN

Express Mail Label No. EL613927545US

03940 U.S. PTO
10/6/03
5326ADDRESS TO: **MS Patent Application**
Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Specification Total Pages 63

2. Drawing(s) (35 USC 113) Total Pages 37

3. Declaration and POA Total Pages 02

a. Newly executed (OR FAXED COPY)

b Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)
[Note Box 4 Below]

i. DELETION OF INVENTOR(S)
Signed statement attached deleting
Inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

4. Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 3b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

ACCOMPANYING APPLICATION PARTS

5. Assignment Papers (cover sheet & documentation)
including check for \$40.00 recordation fee

6. Letter under 37 CFR 1.41(c).

7. English Translation Document (*if applicable*)

8. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

9. Preliminary Amendment

10. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

11. Small Entity status under 37 CFR 1.27 is claimed

12. Statement filed in prior application,
Status still proper and desired

13. Certified Copy of Priority Document(s)

14. Other: Communication regarding address

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

 Continuation Provisional Conversion Divisional of prior application No: 60/412,507

CLAIMS AS FILED

| | <u>(1) NUMBER OF CLAIMS ALLOWED</u> | <u>(2) NUMBER FILED</u> | <u>(3) NUMBER EXTRA</u> | <u>(4) RATE</u> | <u>(5) BASIC FEE</u> \$375.00 |
|--|---|-----------------------------|---------------------------------|-----------------------------------|--------------------------------------|
| | <u>TOTAL CLAIMS</u> <u>20</u> | <u>20</u> | <u>0</u> | <u>9.00</u> | |
| | <u>INDEPENDENT CLAIMS</u> <u>03</u> | <u>03</u> | <u>0</u> | <u>42.00</u> | |
| | <u>ANY MULTIPLE DEPENDENT CLAIMS?</u> | | | <u>280.00</u> | <u>0</u> |
| | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO | | | | |
| | | | | <u>TOTAL FILING FEE -></u> | <u>\$375.00</u> |

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to DEPOSIT ACCOUNT NO. 50-0595. A duplicate copy of this sheet is enclosed.

15. CORRESPONDENCE ADDRESS

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CERTIFICATE OF MAILING BY EXPRESS MAIL

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DATE OF DEPOSIT: **September 18, 2003**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

MS Patent Application
Asst. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CONTENTS:

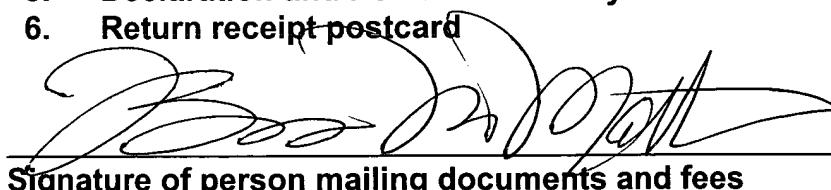
Our Case No.: **BER-P-03-052**

Patent application for: **BERGERSEN**

Entitled: **"A DENTAL APPLIANCE HAVING AN ALTERED VERTICAL THICKNESS BETWEEN AN UPPER SHELL AND A LOWER SHELL WITH AN INTEGRATED HINGING MECHANISM TO ATTACH AN UPPER SHELL AND A LOWER SHELL AND A SYSTEM AND A METHOD FOR TREATING MALOCCLUSIONS"**

ENCLOSED:

1. Patent Application Transmittal (in duplicate)
2. Specification (63 pages) (including one page abstract)
3. Drawings (37 sheets of Figs.1, 2, 3, 4A, 4B, 5, 6A, 6B, 6C, 7, 8, 9, 10A, 10B, 10C, 10D, 10E, 11A, 11B, 12A, 12B, 13, 14, 15, 16A, 16B, 17, 18A, 18B, 18C, 18D, 18E, 19, 20, 21, 22A, 22B, 22C, 23, 24, 25A, 25B, 25C, 26A, 26B, 27A, 27B, 28, 29A, 29B, 29C, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40A, 40B, 41A, 41B, 41C, 41D, 42A, 42B, 43A, 43B, 43C, 43D, 43E, 43F, 44, and 45)
4. Check for \$375.00
5. Declaration and Power of Attorney
6. Return receipt postcard



Signature of person mailing documents and fees